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Materials Letters xx (2007) xxx-xxx

materials letters

www.elsevier.com/locate/matlet

Fabrication and optoelectronic characterisation of ZnO photonic structures

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Received 23 July 2007; accepted 1 August 2007

Abstract

Zinc oxide (ZnO) is recognised as a potential II–VI photonic semiconductor and wavelength-scale ordered patterning of such material helps favourably in tailoring the photonic properties. Here we present two novel approaches for fabricating ZnO photonic structures, namely, via a synthetic route and via electrochemical deposition. We demonstrate fabrication of well-ordered mesa and microphotonic structures from selfassembly of template-assisted electrochemical deposition. We have explored various aspects of the fabrication techniques for achieving an optimized performance. Several optical, electrical and structural techniques are used to highlight the potential utility of these ZnO photonic structures. Our results suggest that these structures show promise in many novel photonic applications.

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Keywords: Optical materials and properties; Microstructure; Semiconductors, ZnO nano and mesophotonic structure

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20 1. Introduction

Zinc oxide (ZnO) is recognised as a potential II-VI photonic 21 semiconductor materials owing to its wide band gap ($\sim 3.37 \text{ eV}$) 22and high exciton binding energy ($\sim 60 \text{ meV}$) [1]. It possesses 23considerable potential for applications in optoelectronic devices 2425such as UV lasers, gas and bio sensors. Nanostructuring and/or wavelength-scale ordered patterning of ZnO should assist the 26tuning of optoelectronic properties even more usefully. The last 27few years have witnessed tremendous efforts on understanding 28 the physical and optical properties of ZnO with particular 29 attention on fabrication and device applications [2]. Many 30 fabrication methodologies and top-down approaches have been 31 applied to obtain high quality nano/microstructured ZnO thin 32films [3,4]. It is also well established that ZnO optoelectronic 33 properties strongly vary depending on the fabrication and it is 34 highly desirable to fabricate high quality devices at low cost. 3536 Recently, we explored an economical way of fabrication for high

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quality semiconductor photonic structures from self-assembly ³⁷ templating followed by electrochemical deposition [5]. ³⁸

In this paper, we demonstrate two important and economical 39 ways of fabricating ZnO nanostructures: chemical synthesis and 40 template-assisted electrochemical deposition. We optimise the 41 growth parameters by measuring various optical, structural and 42 electrical properties. With our studies, based on characterisa-43 tion, we demonstrate the quality and further exploratory 44 evidence for potential applications of these structures. 45

2. Experimental

Two separate ways of fabrication of ZnO were taken up to 47 produce (1) nano to microsized ZnO spheres and (2) micro and 48 nanoporous inverse opal ZnO structures. For the primary task, 49 the ZnO nanoparticles were synthesised by the hydrolysis of 50 Zinc Acetate dihydrate (ZnAc) with Diethylene Glycol (DEG) 51 from two-step synthesis [6]. For electrochemical deposition we 52 have used two kinds of electrolytes under potentiostatic 53 configuration: (1) aqueous mixture of 5 mM ZnCl₂ and 0.1 M 54 KCl and (2) 0.1 M of aqueous Zn(NO₃)₂, using ITO coated 55 glass as a conductive substrate. For template-assisted growth, 56

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Please cite this article as: G.V. Prakash et al., Fabrication and optoelectronic characterisation of ZnO photonic structures, Mater. Lett. (2007), doi:10.1016/j. matlet.2007.08.008

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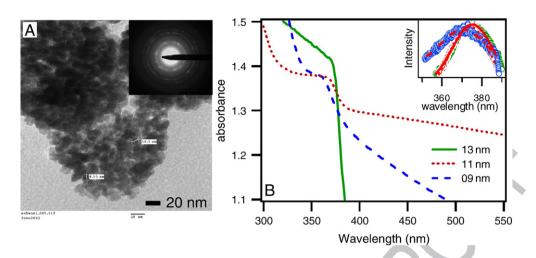


Fig. 1. (A) TEM picture of ZnO nanoparticles with inset of SAED pattern, (B) Absorption and emission (inset) of various sizes of nano-ZnO. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

self-assembled latex spheres were used as a template and Zinc
Hydroxide has been electrodeposited into the interstitial spaces
of polymer spheres [5]. Subsequently, the polymer spheres were
removed using appropriate organic solvents, resulting in Zinc
Hydroxide inverted voids as perfect replica of the spheres. Both
bare and microstrutured Zinc Hydroxide samples were annealed
at 400 °C for 12 h to obtain crystalline ZnO.

Various characterization techniques, namely, TEM, SEM, X-ray 64 Diffraction (XRD), absorption, photoluminescence and nonlinear 65 optical properties were employed for evaluating their performance. 66 Photoluminescence (PL) measurements are carried out using a 67 68 1 kHz pulsed Nitrogen laser (337 nm) as an excitation source. Nonlinear optical properties studied using the Z-scan technique 69 with a 532 nm Nd-YAG laser (6 ns, 10 Hz, with 4 mm input beam 70 diameter) and a lens of 60 mm focal length. All the characteriza-71tions were performed at room temperature. 72

73 3. Results and discussion

Transmission electron microscope (TEM), reveals that the ZnO
 nanospheres obtained from the two-step synthesis are ranging between
 to 13 nm mean radius (Fig. 1A). Selective area electron diffraction

(SAE D) and XRD of these ZnO nanospheres confirm high quality 77 wurtzite crystalline structure (inset Figs. 1A and 2A). However, these 78 structures are prone to agglomerate quickly (80–200 nm clusters) and 79 no further capping has been utilised to avoid such agglomeration. 80 Optical absorption and emission spectra of these ZnO nanospheres 81 clearly show excitonic related absorption/emission peak, shifting 82 towards the blue region as the size decreases (Fig. 1B). Though the size 83 of the synthesised ZnO nanospheres is larger than the bulk exciton 84 radius (~ 2.3 nm) [7], both absorption spectra and emission shows a 85 distinct blue shift with decrease in size. Theoretical modelling based on 86 the effective mass approximation, assuming ZnO nanoparticles as 87 spherical, demonstrate weakly confined quantum effects and are in 88 agreement with other reports.

Another inexpensive approach we investigated was template- 90 assisted electrochemical deposition to fabricate periodic and quasi- 91 periodic nano/microstructured ZnO. We have demonstrated that the 92 pore sizes could be reduced down to 50 nm and as large as several 93 microns. Prior to template-assisted growth, we modify various 94 electrochemical deposition conditions and parameters, including the 95 electrolyte recipe, with a view to improve the surface quality, optical 96 properties and electrical conduction processes. Both from nitrate and 97 chloride electrolyte solutions we observe relatively smooth ZnO thin 98 film deposition, in contrary to earlier findings where ZnO growth was 99 reported as irregular and uncontrollable [8,9]. We also observe strong 100

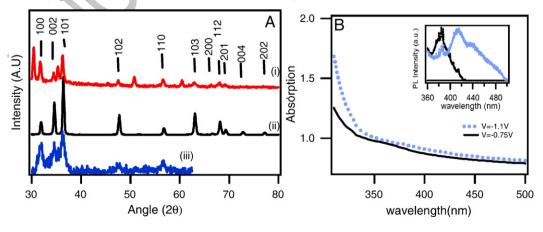


Fig. 2. (A) X-ray Diffraction of ZnO ((i) and (ii) are for electrodeposited thinfilm deposited at -0.75 and -1.1 V deposition potentials respectively and (iii) for synthesized nanoparticles; (B) Optical absorption spectra of electrodeposited thinfilm deposited at -0.75 and -1.1 V respectively. Inset is the photoluminescence of respective samples. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

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dependence of optical and optoelectronic properties on deposition 101 parameters. Fig. 2A shows the wurtzite crystalline nature of ZnO, 102103 prepared from various deposition conditions. Fig. 2B shows the optical absorption features of two samples deposited at two different 104 potentials, -0.75 V and -1.1 V, from Zn(NO₃)₂ solutions. Both the 105 spectra shows a distinct absorption peak related to the exciton at about 106 λ =360 nm and inset of Fig. 2B shows corresponding PL spectra of 107 ZnO samples. Though the X-ray diffraction and optical absorption did 108 109 not show any difference, PL spectra show marked differences with one UV emission centered at ~380 nm and other a broad blue-green 110 $(\sim 420 \text{ nm})$ emission. While the former UV emission can be attributed 111 to excitonic transitions, the latter could be due to deep level defects, 112 which are associated with oxygen vacancy defects and interstitial Zn 113ions. It is possible to conclude from these results that the slow growth 114 115rate (lower potential deposition) would result in more defect-free ZnO. Therefore, appropriate choice of deposition parameters is crucial to 116 117 suppress or enhance defect-related emission of ZnO.

118 Having developed ZnO growth which can be smooth and controlled, we use it to template ZnO nanostructures. We have fabricated nano/ 119 microvoids by template-assisted electrochemical growth, with various 120 potentials ranging from -0.75 V to -1.12 V. For all the conditions we 121 are able to obtain uniform filling of interstitial spaces with relatively 122smooth films (Fig. 3A and B) and thickness more than two spheres 123height. However, for bigger diameter (3 µm) sphere templates, 124 structures grown above half sphere height and below full sphere are 125unusually flexible and collapse on their own (Fig. 3B). 126

The photoconductivity response of electrochemically-deposited samples were measured using a setup containing a UV–VIS lamp,

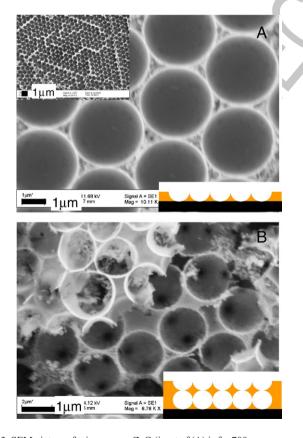


Fig. 3. SEM picture of microporous ZnO (inset of (A) is for 700 nm mesoporous ZnO). (A) and (B) are grown (as represented in the schematic figures) up to 0.5d and >1.5d respectively, where *d* is the diameter of the templated spheres ($d=3 \mu$ m).

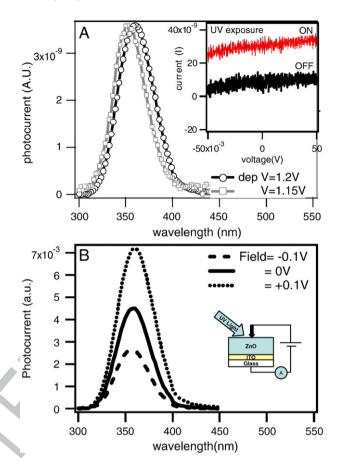


Fig. 4. (A) Photocurrent vs incident light wavelength (bias voltage=-0.1 V) for ZnO thin films, fabricated from different deposition potentials 1.2 V and 1.15 V. (Inset is forward I–V characteristics for UV light ON and OFF); (B) Photocurrent vs incident light wavelength for ZnO thin film (deposited at -1.2 V) under various bias voltages.

monochromator, potentiostat, chopper (at 80 Hz) and a lock-in 129 amplifier (Fig. 4A and B). The photocurrent response obtained was a 130 broad symmetric band with a clear maximum at the exitonic band 131 position (~360 nm). It also shows a marked shift to the UV with a 132 decrease of the deposition potential. Unlike the PL response, we have 133 not observed any photocurrent contribution from defect-related energy 134 states, which are in the region of λ =400–500 nm. When changing the 135 bias voltage from -0.1 V to +0.1 V, the photocurrent response 136 increases with a sightly red-shifted peak maximum centred at 137 ~360 nm. The increase of UV-driven photocurrent under various 138 bias conditions indicates significant light absorption and photo-carrier 139 generation in ZnO, which is a favourable result for electro-photonic 140 devices.

As exemplary evidence, we have also performed third-order 142 nonlinear optical response for one of the electrochemically-deposited 143 ZnO film (deposited at -0.9 V potential with 1.3 µm thickness), to 144 quantify the nonlinear refractive index and nonlinear absorption. *Z*- 145 scan was performed for various input intensity levels. Details of the 146 standard experimental setup can be found elsewhere [10]. Closed 147 aperture *Z*-scan reveals distinct peak-valley configuration suggesting 148 negative nonlinear effects (not shown here). Open aperture *Z*-scan data 149 revealed an inverted bell-shaped transmittance curve centred at the 150 focus (*Z*=0). Using relevant equations we fitted the experimental 151 curves of both scans for extracting nonlinear refraction (γ) and 152 nonlinear absorption (β) coefficients respectively. We repeated the 153

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experiments several times and for various intensities to ensure 154155consistent measurements. The third-order nonlinear coefficients thus obtained were $\gamma = 4.7 \times 10^{-11}$ cm²/W and $\beta = 3.2 \times 10^{-6}$ cm/W. Open 156 aperture data reveals positive nonlinear absorption (β) suggesting that 157the origin is predominantly due to two-photon absorption (TPA). The 158 laser energy (hv) also meets the criteria for TPA, $E_g < 2hv < 2E_g < E_g$ 159 where E_g is the band gap. Since we have not observed any features 160 related to saturation of absorption at the energy of laser, our findings 161 162further suggest that the influence of defect states is weaker and dominated by electronic bands and exciton [11]. Further optoelectronic 163 studies on the nano and microphotonic architectures are under 164165 progress.

166 4. Conclusions

167 In conclusion, we have demonstrated two novel inexpensive and simple approaches, synthetic route and electrochemical 168deposition, to fabricate ZnO photonic architectures. Several 169 optical, electrical and structural techniques were employed to 170 highlight the electro-photonic performance of these ZnO 171 nanostructures. Also we have demonstrated the potential ability 172to produce well-characterised nano and microphotonic struc-173tures, which could further tailor the optoelectronic properties. 174

175 Acknowledgements

The authors acknowledge the financial support from Royal
Society, UK and Department of Science and Technology (DST),
India. SVR acknowledges the financial support from DST,

India. Prof. D. Narayana Rao is acknowledged for extending the 179 nanosecond laser facility. This work is part of our research 180 collaboration in the framework of *UK–India Education and* 181 *Research Initiative (UKIERI)* award. 182

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